



## Exclusion and Eligibility Criteria

### A) Eligibility Criteria

1. The proposed project is located in at least one of the 4 countries having signed a letter of Intent. The list currently includes the Democratic Republic of Congo, The Republic of Congo, Gabon and Cameroon.
2. The project targets one or more of the following sectors: sustainable agriculture, agroforestry, renewable energy or energy transition, economic development (directly contributing to deforestation-free value chains)
3. "The expected total capital contribution by Canopy Trust for the project is between:
  - i) USD200,000 (two hundred thousand US Dollars) to USD800,000 (eight hundred thousand US Dollars) under the Technical Assistance Section;
  - ii) USD1,000,000 (one million US Dollars) to USD2,000,000 (two million US Dollars) under the Capital Acceleration section"
4. The project is expected to contribute positively to climate action (SDG 13) and positively contribute to at least two other SDGs, such as (but not limited to), affordable and clean energy (SDG 7), biodiversity (SDG 14 and 15), life on land (SDG 15), clean water and sanitation (SDG 6), gender mainstreaming (SDG 5), job creation (SDG 8), sustainable communities (SDG 11).
5. The project does not fall under the Exclusion List in the Catalytic ESMS.
6. "ES categorization is B or C, in accordance with IFC Performance Standards.
7. The main stakeholder has the legal power to represent his entity, which is legally registered.
8. The proposal is complete and all the compulsory files/questions are present/answered
9. Agriculture and forestry projects are to ensure that production is deforestation-free.
10. Renewable energy projects are to support value added in sustainable forestry and agriculture value chains, including in connection with special economic zones are discussed in the agriculture and forest industry sectors.
11. The project owner must be able to demonstrate legal land tenure with no resettlement requirements.

### B) Exclusion criteria

1. Activities with significant adverse environmental and social risks that are diverse, irreversible or unprecedented
2. Non-legal and non-sustainable projects.
3. Transboundary movements of waste prohibited under international law, unless compliant with the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal and underlying regulations.
4. Projects involving physical or involuntary resettlement

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5. Activities prohibited by host country legislation or international conventions relating to the protection of biodiversity resources or cultural heritage
  6. Destruction of High Conservation Value areas or areas with major biodiversity
  7. Projects that may cause significant adverse impacts (equivalent to category A). Projects equivalent to category B require ESIA including stakeholder consultation.
  8. Projects adversely affecting cultural heritage or cultural heritage sites
  9. Projects which result in depriving people's individual rights and freedom, or violation of human rights
  10. The production of, or trade in, any product or activity deemed illegal under host country (i.e. national) laws or regulations, or international conventions and agreements, or subject to international phase out or bans.
  11. Production of or trade in products containing PCBs
  12. Production of or trade in pharmaceuticals, pesticides/herbicides and other hazardous substances subject to international phase-outs or bans
  13. Production of or trade in ozone depleting substances subject to international phase out
  14. Trade in wildlife, production of or trade in wildlife products regulated under CITES
  15. Trade in goods without required export or import licenses or other evidence of authorization of transit from the relevant countries of export, import and, if applicable, transit
  16. Production or trade in weapons and munitions
  17. Production or activities involving harmful or exploitative forms of forced labour or child labour as defined in the ILO core labour standards
  18. Production of cosmetics etc. involving testing on animals
  19. Commercial logging operations for use in primary forests
  20. Any business activity involving pornography
  21. Production or distribution of racist, anti-democratic and/or neo-Nazi media
  22. Production or trade in alcoholic beverages
  23. Production or trade in tobacco
  24. Gambling, casinos and equivalent enterprises
  25. Production or trade in radioactive materials
  26. Production or use of or trade in unbonded asbestos fibres or asbestos-containing products
  27. Drift net fishing in the marine environment
  28. Shipment of oil or other hazardous substances in tankers which do not comply with IMO requirements
  29. Project area shall not be on wetlands
  30. The Project shall demonstrate conformity to Safeguarding Principle 8 - Water.
  31. Project must not be associated with geoengineering or energy generated from nuclear or fossil fuels switch, or any project that supports, enhances or prolongs such energy generation.
  32. Projects involving the use of GMOs
  33. The Project shall not make use of chemicals or materials subject to international bans or phase-outs. For example chemicals listed in international conventions such as the Stockholm Conventions on Persistent Organic Pollutants (e.g. DDT, PCBs) or the Montreal Protocol which covers HFCs/CFCs

34. Projects involving Animal Husbandry - Synthetic growth promoters including hormones shall not be administered.
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